### **West Virginia**

**Agency** Department of Health and Human Resources, Bureau for Public Health, Office of

Health Facility Licensure and Certification

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#### Licensure Term

Assisted Living Residences and Residential Care Communities

#### **Definition**

ALR: Any living facility or place of accommodation in the state, however named, available for four or more residents that is advertised, offered, maintained, or operated by the ownership or management for the express or implied purpose of providing personal assistance, supervision, or both to any residents who are dependent upon the services of others by reason of physical or mental impairment and who may also require nursing care at a level that is not greater than limited and intermittent. A small ALR has a resident capacity of four to 16 residents. A large ALR has a resident capacity of 17 or more.

RCC: Any group of 17 or more residential apartments that are part of a larger independent living community that provides personal assistance or supervision on a monthly basis to 17 or more persons who may be dependent upon the services of others by physical or mental impairment or who may require limited or intermittent nursing services, but who are capable of self-preservation.

### Regulatory and Legislative Update

Assisted living is regulated by the Department of Health and Human Resources, Office of Health Facility Licensure and Certification. There are two types of licensed residential care settings in West Virginia: an assisted living residence (ALR) and a residential care community (RCC). The primary difference between ALRs and RCCs is that residents in the latter must be capable of self-preservation in an emergency. The following requirements apply to both types of facilities unless otherwise noted.

A separate license must be obtained for a facility to offer specialized units for persons with Alzheimer's disease or other types of dementia. Such facilities must be licensed as either an ALR or a skilled nursing facility. Licensed facilities that do not market themselves as offering Alzheimer's/dementia special care units may serve residents with early dementia symptoms.

There have been no recent legislative or regulatory updates affecting assisted living residences and residential care communities in the last year.

#### **Facility Scope of Care**

Facilities may provide assistance with activities of daily living and/or supervision and have the option of providing limited and intermittent nursing services. They may also make arrangements for a resident to use a hospice or a Medicare-certified home health agency.

#### **Limitations of Services**

Residents in need of extensive or ongoing nursing care or with needs that cannot be met by the facility shall not be admitted or retained. The licensee must give the resident 30-day written notice and file a copy of the notice in the resident's record prior to discharge, unless an emergency situation arises that requires the resident's transfer to a hospital or other higher level of care, or if the resident is a danger to self or others.

For an RCC, only individuals with the capability of removing themselves from situations of imminent danger (e.g., fire) may be admitted. A resident who subsequently becomes incapable of removing him or herself may remain in the RCC in specified circumstances.

#### **Move-in Requirements Including Required Disclosures/Notifications**

ALR: The facility and the resident enter into a written contract on admission that specifies, at a minimum:

- (1) the type of resident population the residence is licensed to serve;
- (2) the nursing care services that the residence will provide to meet the resident's needs and how they will be provided;
- (3) an annual disclosure of all costs;
- (4) refund policy:
- (5) an assurance that the resident will not be held liable for any cost that was not disclosed;
- (6) discharge criteria;
- (7) how to file a complaint;
- (8) policies for medication;
- (9) management of residents' funds; and
- (10) whether the residence has liability coverage.

RCC: The facility and the resident enter into a written contract on admission that specifies:

- (1) the facilities' admission, retention and discharge criteria;
- (2) the services that the residence will provide to meet the resident's needs:
- (3) disclosure of all costs:
- (4) how health care will be arranged or provided;
- (5) how to file a complaint; and
- (6) policies for medication.

# **Resident Assessment**

Each resident must have a written, signed, and dated health Requirements and Frequency assessment by a physician or other licensed health care professional authorized under state law to perform this assessment not more than 60 days prior to the resident's admission, or no more than five working days following

admission, and at least annually post-admission.

Each resident must have a functional needs assessment completed in writing by a licensed health care professional that is maintained in the resident's medical record. This assessment must include a review of health status and functional, psychosocial, activity, and dietary needs.

#### **Medication Management**

ALR: Only licensed staff may administer or supervise the self-administration of medication by residents. Approved Medication Assistive Personnel (for which specific training and testing is required) can administer medications in the facility.

RCC: The residence must ensure that resident care is provided by appropriately licensed health care professionals. The prescribing health care professional must determine whether or not the resident can self-administer medications.

#### **Staffing Requirements**

If the facility advertises or promotes a specialized memory loss, dementia, or Alzheimer's unit, a separate license must be obtained. The Alzheimer's/dementia special care unit or program must provide sufficient numbers of direct care staff to provide care and services; staffing levels must meet specified ratios.

All licensed assisted living facilities must provide training to all new employees within 15 days of employment, and annually thereafter, on Alzheimer's disease and related dementia. The training must last a minimum of two hours and include specific topics. If the facility has a licensed Alzheimer's unit or program, a minimum of 30 hours of training related to the care of residents with Alzheimer's disease or related dementia is required.

ALR: An administrator must be on staff. At least one direct care staff person who can read and write must be present 24 hours per day. A sufficient number of qualified employees must be on duty to provide residents with all the care and services they require. The number of additional direct care staff on the day and night shifts increases by a defined ratio depending on the number of residents identified on their functional needs assessment to have two or more needs as defined in the code. If nursing services are provided, a registered nurse must be employed to provide oversight and supervision. One employee who has current first aid training and current CPR training, as applicable, must be on duty at all times.

RCC: An administrator must be on staff. At least one residential staff person must be present 24 hours per day. A sufficient number of qualified employees must be on duty to provide residents with all the care and services they require.

#### Administrator/Director Education and Training Requirements

For large ALRs and RCCs, the administrator must be at least 21 years of age and hold an associate degree or its equivalent in a related field. For small ALRs, the administrator must be 21 years of age and have a high school diploma or GED. The administrator must have a background check. The administrator of an ALR must have eight hours of training annually. The administrator of an RCC must have 10 hours of training annually, and the training must be related to the administration and operation of RCCs.

## **Direct Care Staff Education and Training Requirements**

ALR: Personal care staff must complete an orientation and annual in-service training sessions. Orientation includes, at a minimum: emergency procedures and disaster plans; the residence's policies and procedures; resident rights; confidentiality, abuse prevention and reporting requirements; the ombudsmen's role; complaint procedures; specialty care based on individualized resident needs and service plans; the provision of group and individual resident activities; and infection control. Annual training is on the topics of resident rights; confidentiality; abuse prevention and reporting requirements; the provision of resident activities; infection control; and fire safety and evacuation plans.

RCC: New employees must complete an orientation on emergency procedures and disaster plans; the residence's policies and procedures; resident rights; abuse, neglect, and mistreatment policies; complaint procedures; care of aged, infirm, or disabled adults; personal assistance procedures; specific responsibilities of the residential staff for assisting current residents; CPR and first aid; and infection control. Annual training must be provided on the topics of resident rights; confidentiality; abuse, neglect, and mistreatment; emergency care of residents; the responsibilities of the residential staff for assisting residents; and infection control.

#### **Quality Requirements**

There are no specified quality requirements detailed.

Infection Control Requirements Emergency Preparedness Requirements There are no specified infection control requirements detailed.

ARC: The assisted living residence shall have a written disaster and emergency preparedness plan with procedures to be followed in any emergency that could severely affect the operation of the residence.

RCC: The residential care community shall have a written disaster and emergency preparedness plan which states procedures to be followed in the event of an internal or external disaster or emergency which could severely affect the operation of the residential care community.

#### **Life Safety Requirements**

All ALRs and RCCs with four or more beds must comply with state fire commission rules and must have smoke detectors, fire alarm systems, and fire suppression systems. Small ALRs (with four to 16 beds) must have a National Fire Protection Association (NFPA) 13D or 13R-type sprinkler system. Large ALRs (i.e., with 17+ beds) must have an NFPA 13-type sprinkler system. All facilities must have smoke detectors in all corridors and resident rooms. Assisted living communities with permanently installed fuel-burning appliances or equipment that emits carbon monoxide as a byproduct of combustion are required to have carbon monoxide detectors. Facilities must have manual pull stations and a fire alarm system. Each facility must have a written disaster and emergency preparedness plan with procedures to be followed in any emergency.

### Medicaid Policy and Reimbursement

Medicaid provides medical care in institutional settings, such as nursing homes, to individuals who otherwise may not be able to afford care.

#### **Citations**

West Virginia Administrative Code. (n.d.) Chapter 16: Public Health, Article 5N: Residential Care Communities. https://code.wvlegislature.gov/16-5N-3/

West Virginia Administrative Code. (2019) Title 64, Series 14, Assisted Living Residences.

West Virginia Administrative Code. (1999) Title 64, Series 75, Residential Care Communities.

https://apps.sos.wv.gov/adlaw/csr/ruleview.aspx?document=287

West Virginia Administrative Code. (2006) Title 64, Series 85, Alzheimer's/Dementia Special Care Units and Programs. <a href="https://apps.sos.wv.gov/adlaw/csr/ruleview.aspx?document=289">https://apps.sos.wv.gov/adlaw/csr/ruleview.aspx?document=289</a>

West Virginia Code. (2003) Chapter 16, Article 5D: Assisted Living Residences.

http://www.wvlegislature.gov/wvcode/chapterentire.cfm?chap=16 &art=5D&section=1

West Virginia Code. (n.d.) Chapter 16, Article 49: West Virginia Clearance for Access: Registry and Employment Screening Act. http://www.wvlegislature.gov/wvcode/code.cfm?chap=16&art=50

West Virginia Department of Health and Human Resources. (n.d.) Bureau for Family Assistance. Medicaid and Medicaid for Long Term Care.

https://dhhr.wv.gov/bfa/programs/Pages/Medicaid.aspx